



# Export Control in English

**A language training course book for both self-study and trainer led courses**

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# 3. Types Of License

The European Union has a range of export authorizations used for the export of dual-use items for exporters who are established within the EU, however national licensing requirements still apply.

**Discuss.** Do you know these authorizations? Have you worked with them? Why or why not?

## 3.1 Listen and fill in the gaps.

1. Under the EU regime, the export of dual-use items is \_\_\_\_\_ and dual-use items may not leave the EU customs territory without an export authorization.
2. The Regulation is \_\_\_\_\_ and directly applicable throughout the EU.
3. Member States nevertheless need to take certain measures for \_\_\_\_\_ of some of its provisions: e.g. in relation to breaches and penalties.
4. There are \_\_\_\_\_ of export authorizations in place in the EU export control regime:
5. \_\_\_\_\_ allow for the export of dual-use items to certain destinations under certain conditions.
6. \_\_\_\_\_ may be issued by EU Member States if they are consistent with existing EUGEAs and do not refer to items listed in Annex IIg of the Regulation
7. \_\_\_\_\_ are granted by national authorities to one exporter and may cover multiple items to multiple countries of destination or end users.
8. \_\_\_\_\_ are granted by national authorities to one exporter and cover exports of one or more dual-use items to one end-user or consignee in a third country.



EU GEA  
001

EU GEA  
002

EU GEA  
003

EU GEA  
004

EU GEA  
005

EU GEA  
006

**3.2 Can you match** the above authorizations to their descriptions below?

License	Definition
	For the export of chemicals
	Temporary export for exhibition or fair
	Exports to Australia, Canada, Japan, New Zealand, Norway, Switzerland...
	Export of certain dual-use items to certain destinations
	Export of telecommunications items
	Export after repair/replacement

Listen again and check your answers.

**Discuss and describe** what national licences are available in your country and what EU authorization they are similar to. Fill out the tables below.

*E.G.*

National licence	Similar to EU authorization	Key differences, if any
British License; Standard Individual Export License (SIEL)	EU GEA 002	SIEL is for both military and dual-use items. Covers electronic items also and is normally valid for 2 years.

National licence	Similar to EU authorization	Key differences, if any

National licence	Similar to EU authorization	Key differences, if any

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National licence	Similar to EU authorization	Key differences, if any

National licence	Similar to EU authorization	Key differences, if any

## Words for Work

**Match** the words or phrases on the left to their definitions on the right.

To broker  
 To comply with  
 A consignee  
 A transshipment  
 To transfer  
 To revoke  
 An amendment  
 An application  
 Register  
 To grant

- To allow an individual or party to have, or do, what they want
- When goods pass through an intermediate destination before the final destination
- A formal or official change made to a law or contract
- An individual or party that arranges transactions between buyer and seller
- An oral or written request
- To obey or follow a rule or instruction
- To pass from one person, party or location to another
- To put information about an individuals or parties on an official list
- To cancel an agreement, law or licence
- The individual or party who is the receiver of the goods – usually the buyer

**3.4 Find** the words in the letter jumble below.

Y A P E R M A N E N T W C K B R Q A Z J	APPLICATION
B P I K C O N T R O L - L I S T X R F C	CLASSIFICATION
S W Z N V J C O N S I G N E E E Z Y Z V	COMPLIANCE
K H E K G Z U V C O N S I G N O R X Q C	CONSIGNEE
C M I C L A S S I F I C A T I O N E E E	CONSIGNOR
L R C P H M H K J G T R A N S F E R U F	CONTROL-LIST
N V Y O M O W O U U R L U U T A T Z C A	CRYPTOGRAPHIC
X J G P M E C Y G N V Y D B E M U C H F	DESTINATION
W R E A T P N E L E G I S L A T I O N J	DUALUSE
V E D B E O L T U D H V J Z K S A H T C	END-USER
I Y N U C Z G I Q U A N T I T Y N H A K	LEGISLATION
X A L S A A T R A N S H I P M E N T U T	PERMANENT
V A O D Y L M H A N X M F X Q I M T K I	QUANTITY
V Q Z S J N U P S P C A T W J Y H S L E	SHIPMENT
T R A D E R M S D V H E C N U X S U U K	STRATEGIC
L F G B L C M D E S T I N A T I O N I Q	TEMPORARY
N F L X Q W Z A A J G A C N R Z Y K B S	TRADER
J S T R A T E G I C Z N H W F M C X D I	TRANSFER
E N D - U S E R T E M P O R A R Y V U S	TRANSHIPMENT
E I M S L G D A P P L I C A T I O N T P	VALUE

**Trade Control Regimes - The Australia Group**

An informal network of 42 participating States including the European Union which was set up in 1985 as a result of the chemical weapons attacks Iraq had launched as part of the Iran-Iraq War. Investigations showed that the chemical weapons deployed by Iraq were manufactured with the help of commercially available materials and chemicals. The AG participants work to harmonize trade controls to ensure that exports do not contribute to chemical and biological weapons programs. It also helps states implement their obligations under the Chemical and Biological Weapons and Toxins Conventions respectively.

**Think and Respond:** Read the following scenarios and decide what if any, licence should be applied for and then explain your reasons.

**Scenario 1;**

Kahn Chemical and Laboratory Supplies Limited have developed a new, versatile high-tech fume cabinet that can be used in all chemical and biological laboratory applications. One of the integral components in this fume cabinet is special a device that detects toxic gases – its ECCN is 2B351 and the device wholesales for 5,500\$ US from its US manufacturer.

The price of the new fume cabinet is to be 35,000€ per unit, with a 5% reduction if ordering more than one.

License required;

Your reasons;

**Scenario 2;**

A Turkish University has asked Kahn Chemical and Laboratory Supplies Limited for a custom made glove box for one of their research labs. In their requirements, they have requested a HEPA filter assembly that is most commonly used in the nuclear industry. All parts and components in the glove box are produced within the EU.

License required;

Your reasons;

**3.5 Match the sentence halves:**

National authorities may prohibit

Each EU GEA also contains a specific set

EU GEAs may be used by any exporter

It is up to member state to decide

EU GEAs contain a precise list of items

The use of the authorisations is subject

whether or not to have a registration requirement that are covered by the authorization.

an exporter from using EU GEAs of conditions of use, which must be adhered to

to several general conditions of use established in the European Union

**3.6 Fill the gaps:** complete the sentences using words from this chapter.

1. When organizing exhibits at trade shows you may have to apply for a \_\_\_\_\_ export licence for both the item and possibly marketing material.
2. Failure to comply with export control laws may mean the authorities will \_\_\_\_\_ your licence to trade.
3. In the EU you can \_\_\_\_\_ to become an Authorised Economic Operator.
4. Any \_\_\_\_\_ to export to countries under trade embargoes or sanctions will either be rejected or examined in great detail.
5. If the \_\_\_\_\_ is a trader, then you will also need to ask for an EUC.
6. There are often \_\_\_\_\_ made to the control lists, so ensure you are always using a current list.
7. Working with an experienced shipping and logistics company can help prevent any problems with \_\_\_\_\_ issues.

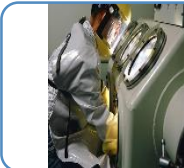
**3.7 Word transformation:** complete the table below, use a good online dictionary to help.

Word	A Synonym	Antonym
Eg.		
Consignee	Purchaser	Consignor
Legislation	_____	
Exhibit	_____	
Comply	_____	_____
Prevent	_____	_____
Experienced	_____	_____
Register	_____	_____
Failure	_____	_____



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- Internally designed to meet your own needs
- Independant of your own buildings structural design
- Fast on-site construction time
- Relocatable



### **Radiopharmacy Hoods**

- Meets class II BSL standards
- Wrap-around lead shielding & leaded glass
- Supply and exhaust HEPA filters



### **Customised Laboratory Solutions and Consulting**

- Special requirements? We can help.
- Experts with years of industry experience will consult you and provide a solution tailor made to your requirements.



### **Service**

- When you need and where you need it
- Service solutions for all laboratory systems and lab equipment
- On-site servicing by qualified personnel or serviced in our world class service facility.

Above is a section from the Kahn Chemical and Laboratory Supplies Limited brochure for their trade fairs stand.

**Examine and discuss** each of the services and products listed and decide on what, if any, kind of licence would be required.

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#### **The export of components and software- Deminimis**

Individual states will have their own requirements in relation to the licensing of components being exported for incorporation into a product, either as a final piece of equipment or device or as sub-component (is this correct wording). Some states, such as the USA have regulations in place that, as well as imposing a licensing requirement over the export of components, may also require an export licence for the export of the device into which the components or software have been incorporated.

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## Tactical Vehicles Ltd

“vehicle solutions for all environments”

### September News Update

**ALDEX trade show update:** The vehicles on display at the ALDEX trade event in Asia which were impounded by the authorities have been cleared for return to the UK. The vehicles were stranded after the trade show due to the lack of the right licences permitting them to be exported after the event had finished.

Following a review of the case the relevant government authorizations were obtained from the Ministry of Foreign Trade clearing the way for the vehicles return.

#### **New manufacturing facility opened in**

**Kazakhstan:** A joint venture agreement between TV Ltd and the State Vehicle Manufacturing Co. KAZCARS was officially opened by the Minister of Defence. The facility will initially produce TV MRAP vehicles for the armed forces of Kazakhstan. It is envisaged that the facility will be well placed to take advantage of the Central Asian defence market, with interest already expressed from a number of other countries in the region.

#### **Mike Wallace appointed as Regional Sales**

**Director, South East Asia:** TV Ltd is pleased to announce the appointment of Mike Wallace to lead our sales team in the new office we have opened in Jakarta. South East Asia has shown itself to be a strong market for armoured vehicles. Mike has over ten years of experience in the industry working for a number of large

defence companies. Mike will be responsible for further developing TVs client base in the region, and will be responsible for implementing project 2050 for the provision of armoured fighting vehicles to the Ministry of Defence of Indonesia.

#### **TV Ltd signs software development deal with a software development company in Bangalore:**

Martin Thompson Director of Core Services, signed a contract with Mr Ravinder Singh of Indian Data Solutions. IDS will provide the communication software for the S&R 453 search and rescue vehicles. The software will be incorporated into the vehicles being produced at the TV Ltd manufacturing facility in the UAE.

**Rising rubber prices affect profits:** Due to the sharp rise in rubber prices TV Ltd has started to search for a more cost effective supplier for wheels for the AFV series of armoured vehicles. The existing US suppliers could not keep their prices competitive. Expressions of interest have been received from manufacturers in China, India, Brazil and Myanmar. The successful bidder will be required to produce completed tyre systems for the full range of TV Ltd vehicles, both military and civilian.

1. What are the relevant export control questions that each news story raises?
2. Are any of these situations relevant to your own companies operations?

# Acknowledgements

The authors acknowledge the following sources of copyright material and are very grateful for the permissions that have been granted. While we have made every effort to identify the copyright holders of all the material used, this has not always been possible. If you find an omission has been made, please contact us and we will be more than happy to update the acknowledgements in future editions. Please note that URLs for websites referred to in this book were correct at the time of printing. We cannot guarantee that external sites will remain online, or that the content referred to will remain at that specific URL.

## Chapter three

Docker with rope

Danny Cornelissen

*Exercise 3.1*

[http://trade.ec.europa.eu/doclib/docs/2014/february/tradoc\\_152181.pdf](http://trade.ec.europa.eu/doclib/docs/2014/february/tradoc_152181.pdf)

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